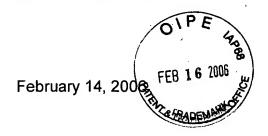
# Bereskin & Farr INTELLECTUAL" PROPERTY LAW





Victor Krichker B.Sc. (Civ. Eng.), LL.B. 416 957 1699 vkrichker@bereskinparr.com

Your Reference: 10/534,028 Our Reference: 12916-11

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Mail Stop Missing Parts The Commissioner of Patents P.O. Box 1450 Alexandria, Virginia U.S.A. 22313-1450

Dear Sir:

United States Utility Patent Application No: 10/534,028 Re:

For: PHARMACEUTICAL DELIVERY SYSTEMS AND METHODS FOR USING

SAME

Filing Date: May 6, 2005

**Applicant: David L. Reynolds** 

The Applicant acknowledges receipt of the Notification of Missing Requirements, which was mailed on December 16, 2005. At this time, the Applicant encloses herewith the following:

- 1. Return receipt card;
- 2. Transmittal Form:
- 3. Fee Transmittal;
- 4. Copy of the Notification of Missing Requirements; and
- 5. Executed Declaration.

We enclose our cheque in the amount of \$130.00, which comprises the following:

Surcharge fee

\$130.00

Total

\$130.00

If any additional fees are required, please withdraw them from our deposit account no. 02-2095 or credit any surcharge thereto.

02/21/2006 GFREY1 00000049 10534028

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130.00 OP

Scotia Plaza, 40 King Street West, 40th Floor, Toronto, Ontario, Canada M5H 3Y2

Tel: 416.364.7311 Fax: 416.361.1398 www.bereskinparr.com

TORONTO MISSISSAUGA WATERLOO MONTRÉAL

In view of the foregoing, it is respectfully submitted that filing of this application is now complete.

Respectfully submitted,

**DAVID REYNOLDS** 

Victor Krichker

Registration No. 50,198

/tc

Encls.

U.S. Patent and Tradema Co: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	Application Numbe	r	10/534,028					
TRANSMITTALE FORM		Filing Date		May 6, 2005				
		First Named Inventor		David L. Reynolds				
	FEB 1 6 2006	Art Unit		n/a				
(to be used for all correspond	Examiner Name		n/a					
Total Number of Pages in This	Attorney Docket Nu	ımber	12916-86					
ENCLOSURES (check all that apply)								
☐ Drawing(s		;)		After Allo	owance Communication to TC			
Fee Attached	Licensing	related Papers		—	Communication to Board als and Interferences			
Amendment / Reply	Petition			Appeal C	Communication to TC lotice, Brief, Reply Brief)			
After Final			Convert to a al Application		ary Information			
Affidavits/declaration(		Power of Attorney, Revocation Change of Correspondence Address			etter			
Extension of Time Reque	st Terminal	Disclaimer		Other E	nclosure(s) entify below):			
Express Abandonment Request		or Refund per of CD(s)		Letter to USI Return receip Executed De	pt card; and			
☐ Information Disclosure Statement		dscape Table on CD						
Certified Copy of Priority Document(s)	Remarks							
Reply to Missing Parts/ Incomplete Application								
Reply to Missing Par under 37 CFR1.52 or								
	SIGNATURE OF	APPLICANT, ATTOR	RNEY, OF	RAGENT				
Firm	Bereskin & Parr							
Signature								
Printed Name								
Date	February 14, 20	006	Reg. No.	50,198				
20		TE OF TRANSMISS						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Signature								
Typed or printed name				Date				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04)

Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rsons are required to respond to a collection of information unless it displays a valid OMB control number Under the Panerwork Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/534,028 TRANSMITTA Filing Date May 6, 2005 For FY 2005 David L. Reynolds First Named Inventor **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit n/a TOTAL AMOUNT OF PAYMENT 130.00 Attorney Docket No. 12916-86 METHOD OF PAYMENT (check all that apply) Credit Card Money Order Other (please identify): None 022095 Bereskin & Parr ✓ Deposit Account Deposit Account Number. Deposit Account Name:\_ For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES **Small Entity Small Entity Small Entity** Fee (\$) Fees Paid (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 200 150 500 250 100 200 130 Design 100 100 50 65 200 Plant 100 300 150 160 80 Reissue 300 150 500 250 600 300 **Provisional** 200 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee Description 25 Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 180 Multiple dependent claims **Multiple Dependent Claims** Fee Paid (\$) **Total Claims** Fee (\$) Extra Claims 0.00 Fee Paid (\$) - 20 or HP = Fee (\$) 0\_ x 0.00 HP = highest number of total claims paid for, if greater than 20 Fee Paid (\$) Extra Claims Fee (\$) Indep. Claims - 3 or HP = 0.00 0 X 0.00 HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof **Total Sheets** Extra Sheets (round up to a whole number) x 0 - 100 = 0 / 50 = Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) 130.00 Other: Surcharge (late submission of declaration)

SUBMITTED BY			
Signature	2/_	Registration No. 50,198 (Attorney/Agent)	Telephone (416) 364-7311
Name (Print/Type)	Victor Krichker		Date February 14, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/534,028 David L Reynolds 12916-86

INTERNATIONAL APPLICATION NO.

PCT/CA03/01713

I.A. FILING DATE PRIORITY DATE 11/07/2003

11/08/2002

1059 BERESKIN AND PARR 40 KING STREET WEST **BOX 401** TORONTO, ON M5H 3Y2 CANADA

**CONFIRMATION NO. 3363 371 FORMALITIES LETTER** \*OC000000017635226\*

Date Mailed: 12/16/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/06/2005
- Copy of the International Search Report filed on 05/06/2005
- Information Disclosure Statements filed on 08/26/2005
- U.S. Basic National Fees filed on 05/06/2005
- Priority Documents filed on 05/06/2005
- Specification filed on 05/06/2005
- Claims filed on 05/06/2005
- Abstracts filed on 05/06/2005
- Drawings filed on 05/06/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

## '30 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/534,028	PCT/CA03/01713	12916-86

FORM PCT/DO/EO/905 (371 Formalities Notice)